Docket No.: SHG-0047 (85439-0047)



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Jun AKIKUSA, et al.

Confirmation No.: 8796

Application No.: 09/891,501

Art Unit: 1745

Filed: June 27, 2001

Examiner: Raymond Alejandro

For: SOLID OXIDE FUEL CELL

## AMENDMENT AFTER FINAL ACTION (37 C.F.R. SECTION 1.116)

MS AF Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

## INTRODUCTORY COMMENTS

In response to the Office Action dated June 23, 2003 (Paper No. 10), finally rejecting claims 1 and 3-6, and the Advisory Action of October 9, 2003, please amend the above-identified U.S. patent application as follows:

Amendments to the Specification which begins on page 2 of this paper.

Amendments to the Claims are reflected in the listing of claims which begins on page 3 of this paper.

Remarks/Arguments begin on page 5 of this paper.

## Docket No. AMENDMENT TRANSMITTAL LETTER SHG-0047 Application No. Filing Date Examiner **Art Unit** June 27, 2001 1745 09/891,501-Conf. #8796 Raymond Alejandro Applicant(s): Jun AKIKUSA, et al NOV 1 8 2003 Invention: SOLID OXIDE FUEL CELL TO THE COMMISSIONER FOR PATENTS Transmitted herewith is an amendment in the above-identified application. The fee has been calculated and is transmitted as shown below. **CLAIMS AS AMENDED** Claims Highest Remaining Number Number Extra Claims After Previously **Amendment Present** Rate Paid 0.00 **Total Claims** 20 independent 1 0.00 3 = Х **Claims** Multiple Dependent Claims (check if applicable) Other fee (please specify): Petition for Extension of Time 420.00 420.00 TOTAL ADDITIONAL FEE FOR THIS AMENDMENT: **Small Entity** x Large Entity No additional fee is required for this amendment. x Please charge Deposit Account No. 18-0013 in the amount of \$ . A duplicate copy of this sheet is enclosed. A check in the amount of \$ to cover the filing fee is enclosed. Payment by credit card. Form PTO-2038 is attached. x | The Commissioner is hereby authorized to charge and credit Deposit Account No. 18-0013 as described below. A duplicate copy of this sheet is enclosed. x Credit any overpayment. x Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17. Dated: Novmber 18, 2003 David T. Nikaido/Robert S. Green Attorney Reg. No.: 22,663/41,800 RADER, FISHMAN & GRAUER PLLC The Lion Building 1233 20th Street, N.W., Suite 501 Washington, DC 20036 (202) 955-3750

DC121595

PTO/SB/17 (08-03)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAI							omplete if Known		
FEE TRANSMITTAL						09/891,501-Conf. #8796			
	Application Number					June 27, 2001			
for FY 2003	Filing Date				AKIKUSA et al.				
Effective 01/01/2003, Patent fees are subject to annual revision.	First Named Inventor  Examiner Name			<del></del>	mond Alejandro				
				+					
Applicant claims small entity status. See 37 CFR 1.27	Art Unit			1745					
TOTAL AMOUNT OF PAYMENT (\$) 420.00	Attorney Docket No.				SHG-0	SHG-0047			
METHOD OF PAYMENT (check all that apply)	FEE (				CALCUL	CALCULATION (continued)			
Check Credit Money Order Other None	3. ADDITIONAL FEES								
X Deposit Account:									
Deposit Account 18-0013	Large Fee	Entity	Fee	I Entity Fee	-				
Number 10-0013	Code	(\$)	Code			Fee Desc	ription	Fee Paid	
Deposit Account Rader, Fishman & Grauer PLLC	1051	130	2051	65	Surcharge -	-			
Name The Director is authorized to: (check all that apply)	1052	50	2052	25	Surcharge sheet.	late provisio	nal filing fee or cover		
X Charge fee(s) indicated below X Credit any overpayments	1053	130	1053	130	Non-English	specification	1		
Charge any additional fee(s) during the pendency of this	1812	2,520	1812	2 520	For filing a re	ouest for ex o	arte reexamination		
application	1012 2,5			920*	-	g a request for ex parte reexamination sting publication of SIR prior to			
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1604 920   1604 920 E			Examiner ac	xaminer action equesting publication of SIR after				
				Examiner ac	ner actionsion for reply within first month				
FEE CALCULATION  1. BASIC FILING FEE	1251 1252	110 410	2251 2252	55 205			s second month	420.00	
Large Entity Small Entity	1252	930	2253	465	Extension fo			120.00	
Fee Fee Fee <u>Fee Description</u> Fee Paid	1254	1,450	2254	725			fourth month		
Code (\$) Code (\$) 1001 750 2001 375 Utility filing fee	1255	1,970	2255	985					
1001 750 2001 375 Utility filing fee 1002 330 2002 165 Design filing fee	1401	320	2401		Extension for reply within fifth month  Notice of Appeal				
1003 520 2003 260 Plant filing fee	1402	320	2402	160	Filing a brief in support of an appeal				
1004 750 2004 375 Reissue filing fee	1403	280	2403	140	Request for	oral hearing			
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to in	Petition to institute a public use proceeding			
SUBTOTAL (1) (\$)	1452	110	2452	55	Petition to re	tition to revive – unavoidable			
355151742 (1) (4)	1453	1,300	2453	650		tion to revive - unintentional			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE  Extra Fee from	1501	1,300	2501	650	Utility issue t	•	ıe)		
Claims below Fee Paid	1502	470	2502						
Total Claims -20** = 0 x	1503	630	2503	315	Plant issue f				
Independent -3** = 0 x =	1460	130	1460	130	Petitions to the Commissioner				
Multiple Dependent = = = =	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)				
Large Entity Small Entity	1806	180	1806	180	Submission of Information Disclosure Stmt				
Fee Fee Fee Fee Fee Fee Description	8021	40	8021	40	property (tim	Recording each patent assignment per property (times number of properties)			
1202 18 2202 9 Claims in excess of 20	1809	750	2809	375	Filing a subr (37 CFR 1.1		final rejection		
1201 84 2201 42 Independent claims in excess of 3	1810	750	2810	375	For each additional invention to be			$\vdash$	
1203 280 2203 140 Multiple dependent claim, if not paid 1204 84 2204 42 ** Reissue independent claims	1801	750	2801	375	examined (37CFR 1.129(b)) Request for Continued Examination (RCE)			<b></b>	
over original patent		900			Request for expedited examination			$\vdash$	
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802 900 1802 900 request to expectite examination of a design application								
SUBTOTAL (2) (\$) 0.00							TAL (3) (\$)	420.00	
**or number previously paid, if greater; For Reissues, see above									
SUBMITTED BY						(Complete	e (if applicable))		
Name (Print/Type) David T. Nikaido/Robert S. Green	Registration No. (Attorney/Agent) 22,663/41,8				11,800	Telephone	(202) 955-3750		
Signature Mobe Alber						Date	November 18,	2003	
DC139990									